

Completing client/matter risk assessment - A practical guide

12 February 2024

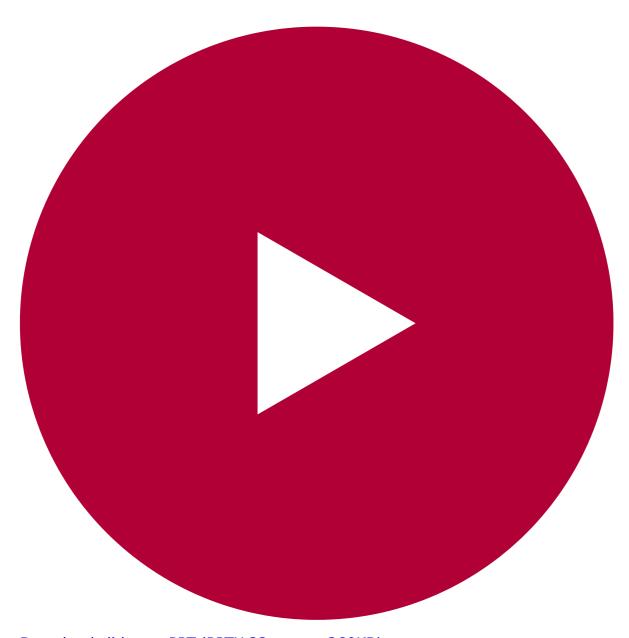
Our recent thematic review of client/matter risk assessments found that less than half of those produced within firms were compliant with the rules. This led to us issuing a warning notice on the issue.

In this webinar we will discuss:

- Why it is important that you have compliant client/matter risk assessments
- Common areas of non-compliance
- Hints and tips on completing your own assessments

We will also illustrate how you might use and personalise the client/matter risk assessment templates we published in October 2023.

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<u>Download slides as PPT (PPTX 22 pages, 260KB)</u> [https://consultations.sra.org.uk/globalassets/documents/sra/news/events/2024/completing-client-matter-risk-assessment--a-practical-guide.pptx]

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Resources

• What does my firm need to do? - Client matter risk assessments [https://consultations.sra.org.uk/solicitors/resources-archived/money-laundering/what-doesmy-firm-need-do/#client]