



## Search guidance - results

### Misconduct

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#### **Use of non disclosure agreements (NDAs) - Warning notice**

[\[https://consultations.sra.org.uk/solicitors/guidance/non-disclosure-agreements-ndas/\]](https://consultations.sra.org.uk/solicitors/guidance/non-disclosure-agreements-ndas/)

6 August 2024

This reminds those we regulate of some of the key risks and issues when advising on the use of NDAs.

#### **Workplace environment: risks of failing to protect and support colleagues - Guidance**

[\[https://consultations.sra.org.uk/solicitors/guidance/workplace-environment/\]](https://consultations.sra.org.uk/solicitors/guidance/workplace-environment/)

4 May 2023

Guidance on our approach where we consider that individuals and firms have failed to take appropriate steps to look after colleagues' wellbeing.

#### **Workplace environment: risks of failing to protect and support colleagues - Case studies**

[\[https://consultations.sra.org.uk/solicitors/guidance/workplace-environment-case-studies/\]](https://consultations.sra.org.uk/solicitors/guidance/workplace-environment-case-studies/)

2 May 2023

Case studies to be read in conjunction with the guidance on workplace environment: risks of failing to protect and support colleagues

#### **Convictions arising from matters of principle or social conscience - Guidance**

[\[https://consultations.sra.org.uk/solicitors/guidance/convictions-arising-social-conscience/\]](https://consultations.sra.org.uk/solicitors/guidance/convictions-arising-social-conscience/)

1 September 2022

To explain your obligations when you as a legal practitioner are convicted in relation to matters of principle or social conscience.

## **Sexual Misconduct - Guidance**

[\[https://consultations.sra.org.uk/solicitors/guidance/sexual-misconduct/\]](https://consultations.sra.org.uk/solicitors/guidance/sexual-misconduct/)

1 September 2022

Guidance about sexual misconduct allegations for those we regulate and complainants considering reporting allegations of sexual misconduct to us.

## **Acting with integrity - Guidance**

[\[https://consultations.sra.org.uk/solicitors/guidance/acting-with-integrity/\]](https://consultations.sra.org.uk/solicitors/guidance/acting-with-integrity/)

1 September 2022

To help explain Principle 5 and the requirement to act with integrity.

## **Advising on leasehold provisions including ground rent clauses - Guidance**

[\[https://consultations.sra.org.uk/solicitors/guidance/leasehold-provisions-including-ground-rent-clauses/\]](https://consultations.sra.org.uk/solicitors/guidance/leasehold-provisions-including-ground-rent-clauses/)

9 August 2022

To help you understand what our Standards and Regulations require when acting for clients who are buying leasehold properties and to avoid possible breaches of our requirements.

## **Confidentiality of client information - Guidance**

[\[https://consultations.sra.org.uk/solicitors/guidance/confidentiality-client-information/\]](https://consultations.sra.org.uk/solicitors/guidance/confidentiality-client-information/)

30 June 2022

To help you understand your obligation to keep clients' information confidential.

## **Conduct in disputes - Guidance**

[\[https://consultations.sra.org.uk/solicitors/guidance/conduct-disputes/\]](https://consultations.sra.org.uk/solicitors/guidance/conduct-disputes/)

4 March 2022

This guidance is to help you understand your obligations and how to comply with them. We will have regard to it when exercising our regulatory functions.

## **SRA consumer credit - Guidance**

[\[https://consultations.sra.org.uk/solicitors/guidance/consumer-credit/\]](https://consultations.sra.org.uk/solicitors/guidance/consumer-credit/)

11 November 2020

To help SRA authorised firms involved in credit related regulated activities understand the application of Part 20 of the Financial Services and Markets Act 2000.

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#### **Search within Misconduct**

#### **Filter by type**

- ☐ Guidance
- ☐ Case study
- ☐ Warning
- ☐ SRA decision-making

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