



Tolhurst Fisher LLP

**Trafalgar House, Nelson Street, Southend-on-Sea,
Essex , SS1 1EF**

**Recognised body
463244**

[Prosecution Date: 14 August 2024](#)

Decision - Prosecution

Outcome: Referral to Solicitors Disciplinary Tribunal

Outcome date: 14 August 2024

Published date: 7 April 2025

Firm details

Firm or organisation at time of matters giving rise to outcome

Name: Tolhurst Fisher LLP

Address(es): Trafalgar House, Nelson Street, Southend-on-Sea, Essex SS1 1EF

Firm ID: 463244

Outcome details

This outcome was reached by SRA decision.

Reasons/basis

This notification relates to a Decision to prosecute before the Solicitors Disciplinary Tribunal. This is an independent Tribunal which will reach its own decision after considering all the evidence, including any evidence put forward by the Respondent(s). The Tribunal has certified that there is a case to answer in respect of allegations which are or include that Tolhurst Fisher LLP :-

- i. Between 26 June 2017 and 25 November 2019, failed to have in place a firm-wide risk assessment (FWRA) as required, and thereafter the FWRA in place was not appropriate for the relevant anti-money laundering regulations until rectified on or around 23 January 2024.
- ii. From 15 December 2007 to on or around 29 January 2024, failed to establish and/or maintain compliant policies and procedures, or

policies, controls and procedures, as required by the relevant anti-money laundering regulations.

- iii. From 26 June 2017 to on or around 25 January 2024, failed to have in place a client matter risk assessment process as required by the relevant regulations.

The allegations are subject to a Hearing before the Solicitors Disciplinary Tribunal and are as yet unproven.

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